

Trade promotion lottery - application form

Information for applicants

1. We recommend you apply online through **licence.nsw.gov.au**.
By applying online you receive a faster, cheaper service.
2. Credit card payments will only be accepted online. There is no need to complete this form if lodging online through licence.nsw.gov.au
3. This application form is for a trade promotion lottery that promotes goods or services supplied by a trade or business and where an element of chance determines the award of a prize. A permit is not required if the winner is adjudged against set criteria.
4. If you need to make any changes to this competition once the permit has been granted, do not use this form. Please use the Trade Promotion Lottery Amendment form instead. A processing fee of \$100 will apply for each amendment form processed.
5. Complete all applicable questions. If information is missing, we can ask you to supply the required information and/or documents to support the application. Failure to supply information can delay a decision on the application.
6. If you need more information about applying for a trade promotion lottery permit, go to www.fairtrading.nsw.gov.au
7. If you need help in completing the application form, call 13 32 20 or email CELottery@finance.nsw.gov.au
8. This form and supporting documents can also be lodged by post to NSW Fair Trading, PO Box 972, Parramatta NSW 2142

OFFICE USE ONLY

By (circle): mail | OTC

Date lodged _____

Amount paid \$ _____

GLS receipt no _____

Application number _____

Finalised by _____

Date finalised _____

Permit number _____

PART A

LOTTERY TYPE AND CLASS

Important note:

A single lottery permit applies where there is one draw or multiple draws but only one promotion during the life of the permit.

A multiple lottery permit applies where there are many promotions during the life of the permit - this was previously called a blanket or 'all lotteries' permit.

Select a lottery promotion type and a corresponding lottery class

Lottery promotion type	Lottery class
☐ Single lottery	☐ under \$10,001 prize value ☐ \$10,001 to \$50,000 prize value ☐ \$50,001 to \$100,000 prize value ☐ \$100,001 to \$200,000 prize value ☐ over \$200,000 prize value
☐ Multiple lottery	☐ Class B: Any one prize cannot exceed \$250 and the total value of the prizes is capped at \$50,000 ☐ Class C: Any one prize cannot exceed \$1,000 and the total value of the prizes is capped at \$100,000

PART B
APPLICANT - ORGANISATION DETAILS

Tell us about the organisation that your lottery will benefit - the 'benefiting organisation'. It must be a trade or business

Organisation's legal name

Trading name of proposed beneficiary (if different to legal name)

ABN

Phone (daytime)

Phone (mobile)

Fax

Email address

Web address

Business address

Street no.

Street name

Town/city

State

Postcode

Postal address (if different to business address)

Street no.

Street name

Town/city

State

Postcode

Contact person

Tell us about the main contact person in the benefiting organisation. The contact person must be an employee of the organisation. We will liaise with this person if we have queries about the application, and we will send all official correspondence to this person - unless an authorised agent (ie., a separate party) is lodging the application on behalf of the benefiting organisation.

Title

Surname

Given name

Middle name

Phone (daytime)

Phone (mobile)

Fax

Email address

PART C
AUTHORISED AGENT - ORGANISATION DETAILS

Tell us about the organisation authorised to lodge this application on behalf of the benefiting organisation, where applicable. If there is no authorised agent for your application, leave this part blank.

Organisation's legal name

ABN

ACN

Phone (daytime)

Phone (mobile)

Fax

Email address

Web address

Business address

Street no.	Street name		
Town/city		State	Postcode

Postal address (if different to business address)

Street no.	Street name		
Town/city		State	Postcode

Contact person

Tell us about the main contact person for the authorised agent, where applicable. We will liaise with this person if we have queries about the application, and we will send all official correspondence to this person.

Title	Surname
Given name	Middle name
Phone (daytime)	Phone (mobile)
Fax	
Email address	

PART D**DRAW VENUE**

If you ticked the single lottery box in Part A, tell us where your lottery will be drawn. The draw must be conducted in Australia

Building name			
Street no.	Street name		
Town/city		State	Postcode

PART E**LOTTERY DETAILS**

Lottery name	
Lottery start date (dd mm yyyy)	Lottery end date ¹ (dd mm yyyy)

1. Write the date on which the winner(s) will be announced or published - this must be a date after the final draw (or, where applicable, after the second chance draw)

PART F

PRIZE DETAILS

Prize category (tick any of the category boxes that apply.)

- ☐ travel
 ☐ motor vehicle
 ☐ event
☐ machinery, electrical
 ☐ real estate
☐ money ²
☐ liquor/alcohol ² (max 20L)
☐ other (please specify) ² _____

Total value of prizes in NSW (\$)

Total number of individual prizes in lottery

Applicants must attach:

— full particulars of the prizes to be awarded. For each prize, supply the prize description, prize quantity, and retail value (\$).

2. Some prize types are prohibited or limited. For more information visit www.fairtrading.nsw.gov.au or call 13 32 20.

PART G

ENTRY DETAILS

Tell us if your lottery has these attributes. (tick all that apply)

- ☐ Entry via a 1900 premium call service If **Yes**, specify all telephone numbers: _____
☐ Entry via a SMS/MMS facility If **Yes**, specify all telephone numbers: _____
☐ A link with gaming machines ³
☐ A link with a charity or not-for-profit organisation
☐ A link with the Olympic movement or an Olympic theme

3. The *Gaming Machines Act 2001* restricts prizes associated with competitions involving gaming machines. No cash prizes, or prizes redeemable for cash can be awarded, and prizes are limited to \$1,000 in value.

PART H
DRAW DETAILS (how prize-winners will be selected and the draw scrutinised)

Selection of prize winners (tick all that apply)

- | | | |
|--|---|--|
| ☐ barrel draw | ☐ spinning wheel | ☐ nominated caller |
| ☐ instant win | ☐ computer generated selection | ☐ random selection of telephone calls |
| ☐ single draw for one prize | ☐ single draw for all prizes | ☐ minor prize draws only |
| ☐ minor prize draws prior to draw for major prizes | | |
| ☐ predetermined, scratch, break-open or bingo style competition | | |
| ☐ other (please specify) _____ | | |

Scrutiny of the draw - mandatory except for an instant win lottery (tick one box only)

The draw and the announcement of the winner(s) must be scrutinised by an independent person if the total value of prizes is \$10,001 or more. The independent person must not be involved with the management, conduct or promotion of the lottery, and must not be a director, partner, employee, contractor, etc of the applicant organisation. These requirements also apply to second chance draws.

We can waive this requirement on hardship grounds.

- ☐ total value of prizes is \$10,000 or less - requirements do not apply
- ☐ total value of prizes is \$10,001 or more - requirements do not apply because winners will be decided through: predetermined; instant win; scratch; break open; or bingo style lottery game of chance
- ☐ total value of prizes is \$10,001 or more - an independent person will scrutinise the draw(s)
- ☐ total value of prizes is \$10,001 or more - request approval for a non-independent person to scrutinise the draw(s) on hardship grounds. ⁴

Date of draw (dd mm yyyy) _____

Time of draw (am/pm format) _____

Date prize-winners announced (dd mm yyyy) _____

Method for notifying prize-winners _____

4. You must give reasons if you seek approval for a non-independent scrutineer of the draw. Your request should explain why our requirement would be onerous, and what alternative safeguards you will put in place.

PART I
OTHER INFORMATION WE NEED
Attach:

- A proposed entry form, ticket or coupon
- A proposed advertisement, notice or information that will be communicated to potential entrants - this includes advertising copy, direct mail letters, website page mock-ups, etc
- The proposed terms and conditions of entry you will make available to entrants. The terms and conditions of entry must contain information about how to enter the lottery, the prize details, and how winners will be decided.

PART J
FEE STRUCTURE

The application fee must accompany the application. See table below.

The total value of the prizes to be awarded in NSW determines the fee payable. Where the trade promotion lottery is to be conducted nationally, the total value of prizes in NSW is the total value of prizes for the trade promotion lottery, except where there are specific prizes for other states/territories

Applications lodged on licence.nsw.gov.au receive a discounted fee structure. There is no need to complete this form if lodging online.

This application fee is GST-exempt. We can issue a receipt, but not a tax invoice, for the payment.

Prize value in NSW		Fee (for applications not made through licence.nsw.gov.au)
Single lottery	Multiple lottery	
\$10,000 or less	-	\$138
\$10,001 to \$50,000	Class B	\$385
\$50,001 to \$100,000	Class C	\$660
\$100,001 to \$200,000	-	\$1,232
\$200,001 or more	-	\$2,400

Total value of prizes in NSW (same as in Part F)

Application fee payable

PART K
PAYMENT

Pay the appropriate fee by :

☐ Cheque (payable to NSW Fair Trading)

☐ Cash

☐ Money order

Cheque drawer's name

Payment amount \$

Note: If you wish to pay for this application by credit card, you must apply online through licence.nsw.gov.au.
A discount is provided for applications made online.

PART L

DECLARATION

To be completed by the contact person named in Part B (if no authorised agent is involved) or Part C (if an authorised agent is involved)

- I declare that I am 18 years or older and I am authorised to make this application on behalf of the trade or business.
- I declare that the contents of this application including any attachments are true, correct and complete.
- I acknowledge that under section 307A of the Crimes Act 1900 it is an offence to provide false, misleading or deficient information in this application.
- I acknowledge that failure to provide all required information may result in refusal of the application.
- I understand that specific details I have supplied in this application may be 'personal information' under the Privacy and Personal Information Protection Act 1998.
- I acknowledge that NSW Fair Trading is collecting personal information to enable processing of the application. I also understand that this agency will use the information for its intended purpose only, store the information securely, and allow me to access and update the information. I also acknowledge that this agency, when processing this application, may need to disclose personal information to other Government agencies.
- I understand that no personal information in this application will be recorded on a public register.
- I acknowledge that a permit, if granted, will be issued according to information in this application, the Lotteries and Art Union Act 1901 and the permit conditions.
- I acknowledge that a permit, if granted, will require the terms and conditions and any published material to show the permit number in the format "NSW Permit No LTP yy/nnnnn".
- I acknowledge that failure to disclose the permit number may result in the lottery being unlawful under section 3 of the Lotteries and Art Union Act 1901.

Signature of nominated contact person

Date

Need more information?

Visit www.fairtrading.nsw.gov.au, or call 13 32 20.